



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Howard Lutnick et al.

Application No.: 09/941,901 Confirmation No.: 7586

Filed : August 28, 2001

For : SYSTEMS AND METHODS FOR PROVIDING
INTERACTIVE ASSISTANCE ON PURCHASE
DECISION-MAKING

Group Art Unit : 3627

Examiner : Not yet assigned

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APR 21 2003

GROUP 3600

New York, New York
April 14, 2003

Hon. Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R §§ 1.56 and 1.97, applicants
hereby make of record the following references in the
above-identified application:

U.S. PATENTS

| | | |
|---------------------|--------------|----------|
| DeTore et al. | 5,732,397 | 03/24/98 |
| Sammon, Jr., et al. | 6,012,051 | 01/04/00 |
| Kajitani | 6,421,691 B1 | 07/16/02 |

FOREIGN PATENT DOCUMENT

WO 00/46651

PCT

08/10/00

Copies of the aforementioned references, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

The information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application. In particular, these references were cited in the March 12, 2003 International Search Report in applicants' corresponding PCT international application PCT/US02/26752. A copy of that Search Report is enclosed herewith. In accordance with 37 C.F.R. § 1.97(c)(1), submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Supplemental Information

Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,



Andrew Van Court
Registration No. 48,506
Agent for Applicants
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1104
Tel: (212) 596-9000

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Correspondence is being
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May 14, 2003

Claire J. Saintil-van Goodman
Janet L. Moore
Signature of Person Signing



| | | | |
|--|--|--|--------------------------|
| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. CF-031 | SERIAL NO. 09/941,901 |
| SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANTS | | APPLICANTS Howard W. Lutnick et al. | CONFIRMATION NO. 7586 |
| | | FILING DATE August 28, 2001 | GROUP 3627 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|-----------------|----------|--------------------|-------|----------|----------------------------|
| | 5,732,397 | 03/24/98 | DeTore et al. | 705 | 1 | |
| | 6,012,051 | 01/04/00 | Sammon, Jr. et al. | 706 | 52 | |
| | 6,421,691 B1 | 07/16/02 | Kajitani | 707 | 500 | RECEIVED |
| | | | | | | APR 21 2003 |
| | | | | | | GROUP 3600 |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|---------------------|--------------------|----------|---------|-------|----------|-------------|----|
| | | | | | | YES | NO |
| | WO 00/46651 | 08/10/00 | PCT | G06F | | | |
| | | | | | | | |
| | | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.